

OFFICIAL

PTO/SB/30 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Request  
For  
Continued Examination (RCE)  
TransmittalAddress to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/829,169
Filing Date	04/09/2001
First Named Inventor	VINCENT, STEPHEN C.
Art Unit	1753
Examiner Name	McDonald, Rodney Glenn
Attorney Docket Number	P04860US00

#  
17/W.M.  
8/15/03  
FAX RECEIVED  
GROUP 1 5 2803  
1700

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 26-0084

- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_

- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	John D. Goodhue	Registration No. (Attorney/Agent)	47,603
Signature	<i>John D. Goodhue</i>	Date	August 13, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	John D. Goodhue	Date	August 13, 2003
Signature	<i>John D. Goodhue</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

08/15/2003 WHITCHEL 00000001

01 FC:1801

750.00<sup>11</sup>BA

OFFICIAL

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : VINCENT, STEPHEN C.  
SERIAL NO : 09/829,169  
FILED : April 9, 2001  
TITLE : A METHOD AND APPARATUS FOR TANTALUM PENTOXIDE  
MOISTURE BARRIER IN FILM RESISTORS

Grp./A.U. : 1753  
Examiner : McDonald, Rodney Glenn  
Conf. No. : 8267  
Docket No. : P04860US0

## AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Mail Stop Non-Fee Amendment  
Alexandria, VA 22313-1450

Dear Sir:

In the matter of the above-identified application for U. S. Patent, kindly enter the following amendments and consider the following remarks relative to reconsideration of the present application.

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Mail Stop RCE  
Alexandria, VA 222313  
Date: 8-13-2003

## FACSIMILE

☒ transmitted by facsimile to the Patent and Trademark Office, MAIL STOP RCE, Art Unit.  
1753 at Fax No. (703) 872-9311

John D. Goodhue  
John D. Goodhue

FAX RECEIVED  
PATENT  
AUG 15 2003  
GROUP 1700  
#18/F  
W.M.  
8/15/03